Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket No. 18920.0040 First Inventor Toshio Sakai BIPED TOY THAT CAN WALK ON TWO FEET Title Express Mail Label No.

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b)) Assistant Commissioner for Patents APPLICATION ELEMENTS ADDRESS TO: Box Patent Application See MPEP chapter 600 concerning utility patent application contents. Washington, DC 20231 1. 🛛 Fee Transmittal Form (e.g., PTO/SB/17) 7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix) (Submit an original and a duplicate for fee processing) 2. 🔲 8. Nucleotide and/or Amino Acid Sequence Submission Applicant claims small entity status. See 37 CFR 1.27. (if applicable, all necessary) a. Computer Readable Form (CRF) з. 🖂 Specification [Total Pages Specification Sequence Listing on: (preferred arrangement set forth below) - Descriptive title of the Invention i. CD-ROM or CD-R (2 copies); or - Cross Reference to Related Applications ii.

paper - Statement Regarding Fed sponsored R & D c. Statements verifying identity of above copies - Reference to sequence listing, a table, **ACCOMPANYING APPLICATIONS PARTS** or a computer program listing appendix - Background of the Invention 9. 🛛 Assignment Papers (cover sheet & document(s)) - Brief Summary of the Invention - Brief Description of the Drawings (if filed) 10. 🔲 37 C.F.R.§3.73(b) Statement | Power of - Detailed Description (when there is an assignee) - Claim(s)
- Abstract of the Disclosure 11. English Translation Document (if applicable) Copies of IDS 12. 4. 🔯 Drawing(s) (35 U.S.C.113) Information Disclosure Total Sheets 6 Statement (IDS)/PTO-1449 Citations [Total Pages 3 5. Oath or Declaration 13. \square **Preliminary Amendment** a. Newly executed (original or copy) 14. 🛛 Return Receipt Postcard (MPEP 503) b. Copy from a prior application (37 CFR 1.63 (d)) (Should be specifically itemized) (for a continuation/divisional with Box 18 completed) 15. Certified Copy of Priority Document(s) i. DELETION OF INVENTOR(S) (if foreign priority is claimed) Signed statement attached deleting inventor(s) 16. 🔲 Nonpublication Request under 35 U.S.C. 122 named in the prior application, see 37 CFR (b)(2)(B)(i). Applicant must attach form PTO/SB/35 1.63(d)(2) and 1.33(b). or its equivalent. 6. Application Data Sheet. See 37 CFR 1.76 17. 🔲 Other: 18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76: □ Continuation □ Divisional ☐ Continuation-in-part (CIP) of prior application No: __ Prior application information: Examiner . Group / Art Unit: For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. 19. CORRESPONDENCE ADDRESS 23517 or 🗆 Correspondence address below Customer Number or Bar Code Label (Insert Customer No. or Attach bar code label here) Edward A. Pennington, Esq. Name Swidler Berlin Shereff Friedman, LLP 3000 K Street, N.W. Address Suite 300 Citv Washington D.C. 20007-5116 State Zip Code Country US Telephone 202 424-7500 202 295-8478 Name (Print/Type) Sean P. O'Hanlon Registration No. (Attorney/Agent) 47,252 October 10, 2003 Signature Date

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

Recording each patent assignment

For each additional invention to be

Request for Continued Examination (RCE)

examined (37 CFR § 1.129(b))

Request for expedited examination

Filing a submission after final rejection

per property (times number of

(37 CFR § 1.129(a))

of a design application

properties)

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| FEE TO ANOMITTAL | \sqcap | Complete if Known | | | | | | | |
|---|-----------------------------|----------------------|---------------------------|--------------|-------------|---|--|--|--|
| FEE TRANSMITTAL | ſ | Application Number | | | T | To be assigned | | | |
| for FY 2004 | | Filing Date | | | 0 | October 10, 2003 | | | |
| | | First Named Inventor | | | or T | Toshio Sakai | | | |
| Effective 01/01/2003. Patent fees are subject to annual revision. | -[| Examiner Name | | | T | To be assigned | | | |
| ☐ Applicant claims small entity status. See 37 CFR 1.27 | Ī | Art Unit | | | T | To be assigned | | | |
| TOTAL AMOUNT OF PAYMENT (\$) 770 | | Attorney Docket No. | | | | 18920.0040 | | | |
| METHOD OF PAYMENT (check all that apply) | FEE CALCULATION (continued) | | | | | | | | |
| ☐ Check ☐ Credit card ☐ Money ☐ Other ☐ None | Ħ | 3. ADDITIONAL FEES | | | | | | | |
| Order | | | large Entity Small Entity | | | | | | |
| ☐ Deposit Account: Deposit | | | Fee (\$) | Fee Code | Fee (\$) | Fee Description Fee Paid | | | |
| Account 19-5127 | 10 | | 130 | 2051 | 65 | Surcharge - late filing fee or oath | | | |
| Number | 10 | 52 | 50 | 2052 | 25 | Surcharge - late provisional filing fee or cover sheet. | | | |
| Deposit Account Swidler Berlin Shereff Friedman, LLP Name | | | 130 | 1053 | 130 | Non-English specification | | | |
| | | | 2,520 | 1812 | 2,520 | For filing a request for reexamination | | | |
| The Director is authorized to: (check all that apply) | | | 920* | 1804 | 920* | Requesting publication of SIR prior to Examiner action | | | |
| ☐ Charge fee(s) indicated below ☐ Credit any overpayments ☐ Charge any additional fee(s) during the pendency of this application | | | 1,840* | 1805 | 1,840 | Requesting publication of SIR after Examiner action | | | |
| ☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account. | 12 | 51 | 110 | 2251 | 55 | Extension for reply within first month | | | |
| FEE CALCULATION | 14 | 52 | 420 | 2252 | 210 | Extension for reply within second month | | | |
| 1. BASIC FILING FEE | 12 | 53 | 950 | 2253 | 475 | Extension for reply within third month | | | |
| Large Entity Small Entity | 12 | 54 | 1,480 | 2254 | 740 | Extension for reply within fourth | | | |
| Fee Fee Fee Fee Fee <u>Fee Description</u> Code (\$) Code (\$) Fee Paid | 12 | 55 | 2,010 | 2255 | 1,005 | Extension for reply within fifth month | | | |
| 1001 770 2001 385 Utility filing fee 770 | 14 | 01 | 330 | 2401 | 165 | Notice of Appeal | | | |
| 1002 340 2002 170 Design filing fee | 14 | 02 | 330 | 2402 | 165 | Filing a brief in support of an appeal | | | |
| 1003 530 2003 265 Plant filing fee | 14 | 03 | 290 | 2403 | 145 | Request for oral hearing | | | |
| 1004 770 2004 385 Reissue filing fee 1005 160 2005 80 Provisional filling fee | 14 | 51 | 1,510 | 1451 | 1,510 | Petition to institute a public use proceeding | | | |
| 1000 100 2000 00 1 TOVISIONAL MINING IGE | 14 | | 110 | 2452 | 55 | Petition to revive – unavoidable | | | |
| SUBTOTAL (1) (\$) 770 | | 53 01 | 1,330 | 2453 | 665 | Petition to revive – unintentional | | | |
| 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE | | | 1,330 | 2501 | 665 | Utility issue fee (or reissue) | | | |
| Extra Fee from Fee | 15 | | 480 | 2502 | 240 | Design issue fee | | | |
| ClaimsbelowPaid | 15 | | 640 | 2503 | 320 | Plant issue fee | | | |
| Total Claims 2 -20 ** = 0 X 18 = 0 | 14 18 | | 130 | 1460 1807 | 130 | Petitions to the Commissioner | | | |
| Independent | 18 18 | - | 50 180 | 1807 | 50 180 | Processing fee under 37 CFR 1.17 (q) Submission of Information Disclosure | | | |
| Multiple 0 Y 300 - 0 | | | | | | Stmt Booording each natart assignment | | | |

| **or number previous | SUBTOTAL (2) | (\$) 0 ee above | *Reduced by Basic Filing Fee | Paid SUBT | OTAL (3) | \$)0 |
|----------------------|------------------|--------------------------------------|------------------------------|------------------|----------------------|------|
| SUBMITTED BY | | | | C | omplete (if applicat | ble) |
| Name (Print/Type) | Sean P. O'Hanlon | Registration No. (Attorney/Agent) | 47,252 | Telephone | 202 424-7500 |) |
| Signature | Sen P. C | AL Q. | | Date | October 10, 2 | 2003 |

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Small Entity

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Fee Description

original patent

over original patent

Claims in excess of 20

Independent claims in excess of 3

Multiple dependent claim, if not paid

** Reissue independent claims over

** Reissue claims in excess of 20 an

Fee

Code

2202

2201

2203

2204

2205

Dependent

Code

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1201

1203

1204

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Large Entity

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This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. 22313-1450.